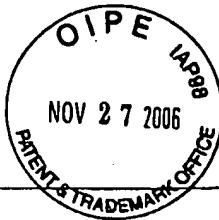


AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111
Serial Number: 09/945,184
Filing Date: August 31, 2001
Title: STOCK TRADING APPLICATION PROGRAM



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Knight, Timothy O. Examiner: Apple, Kirsten S.
Serial No.: 09/945,184 Group Art Unit: 3628
Filed: August 31, 2001 Docket No.: 0006-003002
Title: STOCK TRADING APPLICATION PROGRAM

AMENDMENT AND RESPONSE UNDER 37 CFR § 1.116

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant has reviewed the Office Action mailed on August 25, 2006. In response,
please amend the application as follows and consider the following remarks.

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KPA